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3 **Applied Fatigue Risk Management for Transit:**  
4 **Biomathematical Modeling in the Analysis of Recorded Events**  
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11 **Problem**

12 Despite a number of serious accidents attributed to fatigue-related impairment, there are currently no  
13 federal regulations specifically governing fatigue management in U.S. public transit operations <sup>1</sup>.  
14 Pending the development of national regulations, the Washington Metropolitan Area Transit Authority  
15 has put in place an organizational Fatigue Risk Management System (FRMS) to address the risk of  
16 fatigue-related impairment in operations. The program includes a number of individual initiatives each  
17 intended to reduce the risk of fatigue-related impairment throughout the organization.  
18 Biomathematical modeling is employed within the FRMS, including as a part of work scheduling  
19 practices and in retrospective incident analyses.

20 **Method**

21 Video event recorders installed throughout the bus fleet are triggered by unusual driving events  
22 including speeding, hard braking and collision near misses. While these events do not typically  
23 constitute reportable incidents, they are routinely used within operations to coach drivers on safe  
24 behaviors. The scope of this preliminary analysis included a set of 882 events captured in 2015.  
25 Operator work schedules in the 30 days prior to recorded events were input to the Sleep, Activity,  
26 Fatigue, and Task Effectiveness Fatigue Avoidance Scheduling Tool (SAFTE-FAST) biomathematical model  
27 and application to: (1) estimate the performance effectiveness (expressed as a percentage relative to an  
28 optimal performance) at the time of the recorded event and (2) to estimate a profile of performance  
29 effectiveness in the weeks leading up to the event <sup>2</sup>. The SAFTE-FAST auto sleep function was used to  
30 estimate daily sleep timing and duration throughout the modeled schedules <sup>3</sup>.

31 **Results**

32 The events in this subset make up about 10% of all video recorder events captured in 2015. When work  
33 schedules were modeled using auto sleep assumptions, estimated effectiveness at the time of event  
34 ranged from 63.4% to 100% effectiveness, where 93.6% of the events in the subset occurred at times  
35 where performance effectiveness was estimated to be  $\geq 90\%$ .  
36 Published reports on rail human factor accidents indicate an elevated risk where personnel effectiveness  
37 is estimated below 70% <sup>4</sup>. Analysis of the 30-day work schedules leading up to recorded events revealed  
38 74 schedules with any time below 70% effectiveness, accounting for about 8% of schedules analyzed.

39 **Discussion**

40 Analyses are ongoing to establish the relationship between work scheduling factors and the likelihood of  
41 recorded driving events of different types. The outcome of these analyses will serve to inform the larger  
42 FRMS, including how sleep hygiene education can be incorporated with the driver coaching that typically  
43 follows recorded video events. Updated investigation procedures now employ biomathematical  
44 modelling to assist investigators in establishing the potential role of fatigue-related impairment in  
45 reportable incidents. The present analyses also underscore the value of sleep data collected from  
46 operational groups to both inform auto sleep settings used and further improve model-based estimates.

47 **Summary**

48 Preliminary results of an ongoing analysis are presented here. Work schedules for operators captured  
49 by on-board event recorders were modeled to estimate performance effectiveness at the time of, and in  
50 the weeks leading up to, a captured event. The results of these analyses are intended to further inform  
51 customized development of the transit FRMS.

52 Ongoing analyses will establish what specific relationships exist between fatigue risk as driven by the  
53 work schedule and specific driving event types, to better coach drivers on fatigue risk management  
54 within the FRMS. The proportion of events that were not associated with an estimated performance  
55 impairment suggests the importance of personal sleep hygiene coaching as a part of the overall FMRS.

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